

# Circulation

JOURNAL OF THE AMERICAN HEART ASSOCIATION



## Dark Chocolate Improves Coronary Vasomotion and Reduces Platelet Reactivity

Andreas J. Flammer, Frank Hermann, Isabella Sudano, Lukas Speiker, Matthias Hermann, Karen A. Cooper, Mauro Serafini, Thomas F. Lüscher, Frank Ruschitzka, Georg Noll and Roberto Corti

*Circulation* 2007;116;2376-2382; originally published online Nov 5, 2007;

DOI: 10.1161/CIRCULATIONAHA.107.713867

Circulation is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75214

Copyright © 2007 American Heart Association. All rights reserved. Print ISSN: 0009-7322. Online ISSN: 1524-4539

The online version of this article, along with updated information and services, is located on the World Wide Web at:

<http://circ.ahajournals.org/cgi/content/full/116/21/2376>

Subscriptions: Information about subscribing to *Circulation* is online at  
<http://circ.ahajournals.org/subscriptions/>

Permissions: Permissions & Rights Desk, Lippincott Williams & Wilkins, a division of Wolters Kluwer Health, 351 West Camden Street, Baltimore, MD 21202-2436. Phone: 410-528-4050. Fax: 410-528-8550. E-mail:  
[journalpermissions@lww.com](mailto:journalpermissions@lww.com)

Reprints: Information about reprints can be found online at  
<http://www.lww.com/reprints>

## Dark Chocolate Improves Coronary Vasomotion and Reduces Platelet Reactivity

Andreas J. Flammer, MD; Frank Hermann, MD; Isabella Sudano, MD, PhD; Lukas Speiker, MD; Matthias Hermann, MD; Karen A. Cooper, MSc, PhD; Mauro Serafini, PhD; Thomas F. Lüscher, MD; Frank Ruschitzka, MD; Georg Noll, MD; Roberto Corti, MD

**Background**—Dark chocolate has potent antioxidant properties. Coronary atherosclerosis is promoted by impaired endothelial function and increased platelet activation. Traditional risk factors, high oxidative stress, and reduced antioxidant defenses play a crucial role in the pathogenesis of atherosclerosis, particularly in transplanted hearts. Thus, flavonoid-rich dark chocolate holds the potential to have a beneficial impact on graft atherosclerosis.

**Methods and Results**—We assessed the effect of flavonoid-rich dark chocolate compared with cocoa-free control chocolate on coronary vascular and platelet function in 22 heart transplant recipients in a double-blind, randomized study. Coronary vasomotion was assessed with quantitative coronary angiography and cold pressor testing before and 2 hours after ingestion of 40 g of dark (70% cocoa) chocolate or control chocolate, respectively. Two hours after ingestion of flavonoid-rich dark chocolate, coronary artery diameter was increased significantly (from  $2.36 \pm 0.51$  to  $2.51 \pm 0.59$  mm,  $P < 0.01$ ), whereas it remained unchanged after control chocolate. Endothelium-dependent coronary vasomotion improved significantly after dark chocolate ( $4.5 \pm 11.4\%$  versus  $-4.3 \pm 11.7\%$  in the placebo group,  $P = 0.01$ ). Platelet adhesion decreased from  $4.9 \pm 1.1\%$  to  $3.8 \pm 0.8\%$  ( $P = 0.04$ ) in the dark chocolate group but remained unchanged in the control group.

**Conclusions**—Dark chocolate induces coronary vasodilation, improves coronary vascular function, and decreases platelet adhesion 2 hours after consumption. These immediate beneficial effects were paralleled by a significant reduction of serum oxidative stress and were positively correlated with changes in serum epicatechin concentration. (*Circulation*. 2007;116:2376-2382.)

**Key Words:** atherosclerosis ■ endothelium ■ nutrition ■ oxidative stress ■ platelets

Dark chocolate contains high levels of flavonoids that exert antioxidant properties. An emerging body of evidence suggests a potential beneficial impact of a great variety of different flavonoid-rich food and beverages on cardiovascular events,<sup>1</sup> for cocoa in particular.<sup>2</sup> Interestingly, flavonoid-rich chocolate or cocoa drinks have been shown to improve peripheral vascular function in healthy volunteers and patients with increased cardiovascular risk.<sup>3–8</sup> The cardiovascular effect of flavonoid-rich dark chocolate, however, remains elusive.

### Editorial p 2360 Clinical Perspective p 2382

Atherosclerotic cardiovascular events are characterized by arterial occlusion, vasospasm, and thrombosis.<sup>9</sup> A rapidly progressing form of coronary atherothrombosis is

transplantation-associated arteriosclerosis, which limits long-term survival after transplantation.<sup>10</sup> In addition to traditional risk factors, high oxidative stress and reduced antioxidant defense play a crucial role in the pathogenesis of transplantation-associated arteriosclerosis.<sup>11</sup> Antioxidant strategies thus hold the potential to retard early progression of the disease.<sup>12</sup>

Increased oxidative stress and impaired nitric oxide (NO) bioavailability are the key features of vascular dysfunction and can be detected as abnormal coronary vasomotion in response to endothelium-dependent stimuli such as acetylcholine or the cold pressor test (CPT).<sup>13</sup> In patients with intact vascular function, acetylcholine and CPT induce vasodilation, whereas with dysfunctional endothelium, a paradoxical vasoconstriction occurs.<sup>13</sup> Patient showing paradoxical vasoconstriction,<sup>13</sup> particularly heart transplant recipients,<sup>14</sup> are at increased risk for cardiovascular events. We report here the

Received May 18, 2007; accepted August 31, 2007.

From Cardiovascular Center (A.J.F., F.H., I.S., L.S., M.H., T.F.L., F.R., G.N., R.C.), Cardiology, University Hospital Zurich, Zurich, Switzerland; Nestlé Research Center (K.A.C.), Lausanne, Switzerland; and Antioxidant Research Laboratory (M.S.), Unit of Human Nutrition INRAN, Rome, Italy.

The online-only Data Supplement, consisting of a figure, is available with this article at <http://circ.ahajournals.org/cgi/content/full/CIRCULATIONAHA.107.713867/DC1>.

Guest Editor for this article was Bradford C. Berk, MD, PhD.

Correspondence to Roberto Corti, MD, Cardiovascular Center, Cardiology, University Hospital Zurich, Raemistr 100, CH-8091 Zurich, Switzerland. E-mail [roberto.corti@usz.ch](mailto:roberto.corti@usz.ch)

© 2007 American Heart Association, Inc.

*Circulation* is available at <http://circ.ahajournals.org>

DOI: 10.1161/CIRCULATIONAHA.107.713867

results of a double-blind, controlled study examining the effect of flavonoid-rich dark chocolate or flavonoid-free control chocolate on coronary endothelial vasomotion and platelet function in heart transplant recipients.

## Methods

### Subject Selection

Twenty-two heart transplant recipients (18 men, 4 women) who were scheduled for a regular coronary angiogram and who did not require immediate coronary intervention were included in this randomized, double-blind, controlled, investigator-initiated trial. Exclusion criteria were acute or chronic heart failure (at least New York Heart Association class II), renal failure (creatinine  $>200 \mu\text{mol/L}$ ), liver disease (alanine aminotransferase or aspartate aminotransferase  $>150$  IU), symptomatic hypotension or hypertension  $>160/100$  mm Hg, known allergy to compounds of the dark or control chocolate, acute infectious diseases, diseases with systemic inflammation, participation in another study within the last month, and concomitant vitamin use. Dark and control chocolates were prepared by Nestlé (Lausanne, Switzerland). Both were wrapped identically, and randomization was done by an organization independent of the study group (InterCorNet, Zürich, Switzerland). Chocolate was distributed to the patient by a nurse not involved in the study.

All patients gave signed informed consent. The study was performed at the University Hospital of Zurich, was approved by the local ethics committee, and was conducted according to the Declaration of Helsinki.

### Experimental Protocol

Each patient was instructed to refrain from eating flavonoid-rich food for 24 hours and to fast for 8 hours before baseline examinations, which were always performed in the morning. These included clinical examination, blood sampling, and a routine coronary angiography. Quantitative coronary angiography was assessed at rest, after the CPT (immersion of the right hand in ice-cold water for 2 minutes), and after intracoronary administration of  $100 \mu\text{g}$  of nitroglycerin. Blood pressure and heart rate were recorded continuously during the coronary angiogram.

After removal of the angiography catheters, the sheath remained in place. After the first assessment, the participants were randomized to receive either 40 g of dark chocolate or the control chocolate, which had to be ingested within 10 minutes.

Two hours after chocolate ingestion, a second assessment of the coronary vasomotion was performed, again with quantitative coronary angiography and the CPT. Clinical parameters were measured and blood samples taken.

### Study Chocolate and Control

Commercially available "Nestlé Noir Intense" was used for dark chocolate. This chocolate contains 10.5 g of sugar and 17.9 g of fat per 40-g serving with a 70% cocoa content. The catechin and epicatechin concentrations were 0.27 and 0.9 mg/g, respectively (as measured by high-performance liquid chromatography), with total polyphenol content (as measured by the Folin-Ciocalteu method) of 15.6 mg of epicatechin equivalents per gram (data from Nestlé Research Center, Lausanne, Switzerland). Nestlé also supplied the isofat, isocarbohydrate, flavonoid-free chocolate for the control group.

### Quantitative Coronary Analysis

Coronary angiography was performed with a biplane Philips Itegris Allura System (Philips Medical Systems, The Netherlands). Images were digitally recorded and were analyzed offline with CAAS QCA software (Pie Medical Imaging, Maastricht, the Netherlands) for automatic contour detection of the coronary arteries and analysis of their diameter; this software has been described and validated previously.<sup>15,16</sup> End-diastolic images of coronary arteries were evaluated at baseline, after CPT with immersion of the right hand into ice water for 2 minutes, and after nitroglycerin application ( $100 \mu\text{g}$ ), as described previously.<sup>13,17</sup> In all patients, measurements were per-

formed in 2 proximal, smooth, nonbranching coronary vessel segments without evidence of luminal wall irregularities or diffuse caliber reduction and stenosis. Each segment was defined by 2 anatomic references to ensure measurements were always at the same segment after each procedure. The artery diameter was calibrated against the contrast-filled tip of the catheter. An investigator blinded to treatment group performed all measurements.

### Shear Stress-Dependent Platelet Function

Shear stress-dependent platelet function was assessed with a cone and platelet analyzer. In brief, citrated whole blood ( $200 \mu\text{L}$ ) was circulated in polystyrene wells at a shear rate of  $1875 \text{ s}^{-1}$  for 2 minutes with a rotating polytetrafluoroethylene cone, as described previously.<sup>7,18–20</sup> Wells were washed, stained with May-Grünwald, and analyzed with a microscope connected to an image-analysis system. Results are expressed as the percentage of surface covered by platelets and as the size of the platelet aggregates (ImageJ 1.31, National Institutes of Health, Bethesda, Md).

### Biomarkers of Oxidative Stress

Serum samples were analyzed at the Antioxidant Research Laboratory of the Unit of Human Nutrition INRAN in Rome, Italy, a laboratory of the human nutrition unit that specializes in research on antioxidant properties of diet and development of markers of redox status and oxidation. The analyses were performed before unblinding of the study.

### TRAP Assay

The TRAP (total radical-reducing antioxidant potential) method is based on the protection provided by antioxidants against the fluorescence decay of R-phycoerythrin (lag phase) during a controlled peroxidation reaction.<sup>21</sup> Briefly,  $25 \mu\text{L}$  of diluted sample was added to  $130 \mu\text{L}$  of phosphate buffer (pH 7.4),  $15 \mu\text{L}$  of diluted R-phycoerythrin, and  $30 \mu\text{L}$  of Azo-bis(2-amidinopropane); the reaction kinetics at  $38^\circ\text{C}$  were recorded for 60 minutes by a Tecan GENios standard fluorescent plate reader spectrometer (Tecan Italia SRL, Milan, Italy). TRAP values were calculated from the length of the lag phase due to the sample compared with that of trolox and expressed as  $\mu\text{mol/L}$  (micromoles of peroxy radicals trapped by 1 L of serum). Intra-assay and interassay coefficients of variation were  $<3\%$  and  $<9\%$ , respectively.

### FRAP Assay

The FRAP (ferric-reducing antioxidant potential) assay is based on reduction of the  $\text{Fe}^{3+}$ -TPTZ complex to the ferrous form at low pH monitored at  $595 \text{ nm}^{22}$  by a Sunrise absorbance plate reader (Tecan Italia). Briefly,  $160 \mu\text{L}$  of working FRAP reagent prepared daily was mixed with  $30 \mu\text{L}$  of water and  $10 \mu\text{L}$  of diluted sample; absorbance at  $595 \text{ nm}$  was recorded after a 30-minute incubation at  $37^\circ\text{C}$ . FRAP values were obtained by comparing the absorption change in the test mixture with that obtained from increasing concentrations of  $\text{Fe}^{3+}$  and were expressed as millimoles of  $\text{Fe}^{2+}$  per liter of serum. Intra-assay and interassay coefficients of variation were  $<1\%$  and  $<3\%$ , respectively.

### F2-Isoprostane Analysis

Total 8-iso-prostaglandin  $\text{F}_2\alpha$  (8-iso-PGF $_2\alpha$ ) was quantified in serum samples with a direct 8-iso-PGF $_2\alpha$  enzyme immunoassay kit (Assay Designs Inc, Ann Arbor, Mich). The kit uses a polyclonal antibody to competitively bind the 8-iso-PGF $_2\alpha$  in the sample or an alkaline phosphatase molecule that has 8-iso-PGF $_2\alpha$  covalently attached to it. After a short incubation period, the enzyme reaction is stopped, and absorbance is read at  $405 \text{ nm}$ . The intensity of the bound yellow color is inversely proportional to the concentration of 8-iso-PGF $_2\alpha$  in either standards or samples. Total 8-iso-PGF $_2\alpha$  is expressed as picograms per milliliter. Intra-assay and interassay coefficients of variation were  $<11\%$  and  $<10\%$ , respectively.

### Assessment of Serum Flavonoid Concentrations

The serum samples were sent to the Nestlé Research Centre, Lausanne, Switzerland, where the analyses were performed before

**Table 1. Baseline Clinical Characteristics (n=22)**

	Control (n=11)	Dark Chocolate (n=11)	P
Age, y	55.6±11.2	51.5±14.7	0.46
Systolic blood pressure, mm Hg	133.5±11.9	135.1±13.5	0.78
Diastolic blood pressure, mm Hg	84.8±8.0	85.1±15.9	0.96
Heart rate, bpm	88.6±13.9	83.6±9.5	0.33
Body mass index, kg/m <sup>2</sup>	27.4±4.7	24.0±3.6	0.06
Years since transplantation	6.3±6.0	8.9±5.1	0.28
Former smoker	6/11	3/11	...
Dyslipidemia	4/11	2/11	...
Hypertension	4/11	3/11	...
Diabetes mellitus	4/11	0/11	...
Former MI/PAD	6/11	3/11	...
Family history	4/11	1/11	...
Total risk factors	2.0±1.3	1.1±1.3	0.11

Values represent mean±SD or absolute numbers. P for comparison of groups by ANOVA. MI indicates myocardial infarction; PAD, peripheral artery disease.

the study was unblinded. The bioavailability of dark chocolate flavonoids was assessed by identifying catechin and epicatechin in serum by high-performance liquid chromatography with colorimetric array electrochemical detection. Briefly, serum was centrifuged at 14 000 rpm for 5 minutes at 4°C. A total of 200 µL of serum was mixed with 12 µL of 10% ascorbic acid–40 mmol/L KH<sub>2</sub>PO<sub>4</sub>–0.1% EDTA, 20 µL of 50 mmol/L potassium phosphate (pH 7.4), 20 µL of 1.0 µg/mL catechin gallate as internal standard, 500 U of β-d-glucuronidase type X-A from *Escherichia coli* (Sigma Chemical Co, St. Louis, Mo), and 4 U of sulfatase type VIII from abalone entrails (Sigma Chemical Co). The mixture was incubated at 37°C for 45 minutes. The reaction was stopped by the addition of 2 mL of ethyl acetate followed by shaking for 20 minutes and centrifugation at 4°C at 2000g for 5 minutes. The supernatant was transferred to a clean tube, and the ethyl acetate extraction was repeated. A total of 10 µL of 0.02% ascorbic acid:0.005% EDTA was added to the pooled supernatant fraction and subjected to a thorough vortex to mix. The supernatant was then evaporated to dryness with nitrogen for 2 hours at room temperature. The samples were reconstituted in 200 µL of methanol:water (1:2 vol), subjected to a vortex, sonicated for 10 minutes, and centrifuged (14 000 rpm, 5 minutes, 4°C). A total of 20 µL of the supernatant was injected into the high-performance liquid chromatography system. Intra-assay and interassay coefficients of variation were 7.27% and 6.22%, respectively.

### Statistical Analysis

All data are expressed as mean±SD, except data in figures, which are mean±SE. Differences between the 2 patient groups for baseline characteristics and coronary vasomotion were compared with ANOVA. Within each group, comparisons were made by paired Student *t* test. A probability value of less than 0.05 was considered statistically significant. For the correlation between changes in serum epicatechin levels and coronary vasomotion, Spearman's correlation coefficient analysis was used.

The sample-size calculation was based on the results of our previous study examining endothelial function in the forearm circulation of young healthy smokers<sup>7</sup> and on previous experience using quantitative coronary angiography at our institution<sup>23</sup>; we considered an improvement in vasomotion to be a reduction in coronary vasoconstriction during the CPT 2 hours after dark chocolate consumption from initially 20±8% to 10%. Assuming a normal distribution, a 95% chance for significance was calculated with 20 patients (2-tailed significance level of 0.05). Statistics were performed with SPSS 11.0.4 for Mac OS X (SPSS Inc, Chicago, Ill).

The authors had full access to and take full responsibility for the integrity of the data. All authors have read and agree to the manuscript as written.

### Results

Twenty-two patients were included in the present study and randomized to receive either flavonoid-rich chocolate or control chocolate. Clinical and laboratory characteristics of the study population are given in Tables 1 and 2, respectively. Although the majority of clinical characteristics and coronary risk factors, as well as medications used, did not differ between the groups, baseline glucose and hemoglobin A1c concentrations were increased significantly and body mass index tended to be higher in the control group, which reflects the higher incidence of patients with diabetes mellitus in the control group (4 of 11 in the control group and 0 of 11 in the dark chocolate group, respectively).

Coronary artery diameter was analyzed with quantitative coronary angiography. Endothelium-dependent vasomotion in response to CPT and endothelium-independent vasodilation in response to nitroglycerine, respectively, were assessed in patients undergoing routine posttransplantation coronary evaluation. At baseline, endothelium-dependent and nitroglycerine-induced vasomotion were similar in the 2 groups. Most interestingly, 2 hours after ingestion of dark chocolate, coronary artery diameter increased significantly from 2.36±0.51 to 2.51±0.59 mm ( $P<0.01$ ), but it remained unchanged after ingestion of control chocolate (from 2.23±0.54 to 2.20±0.57 mm,  $P=0.46$ ; Figure 1A;  $P$  between values at baseline =0.43). Endothelium-dependent coronary vasomotion (percent change of artery diameter induced by CPT after chocolate ingestion compared with baseline coronary artery diameter) improved significantly 2 hours after dark chocolate ingestion (4.5±11.4% versus -4.3±11.7% in the control group,  $P=0.018$ ; Figure 1B). Endothelium-independent vasomotion induced by intracoronary injection of nitroglycerin did not differ between the groups (20.8±12.6% after dark chocolate versus 15.8±19.9% after control;  $P=0.36$ ).

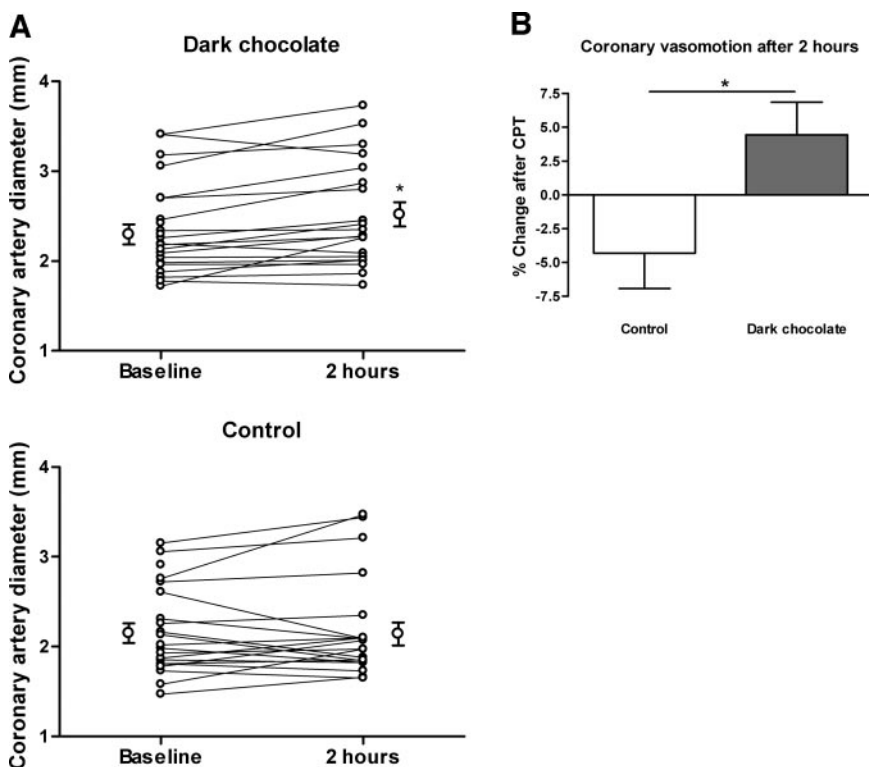
**Table 2. Baseline Laboratory Characteristics (n=22)**

	Control (n=11)	Dark Chocolate (n=11)	P
Hemoglobin, g/dL	11.7±1.3	12.7±1.7	0.17
Erythrocytes, 10 <sup>6</sup> /μL	3.9±0.4	4.1±0.6	0.30
MCV, fL	90.7±7.0	90.3±8.5	0.90
MCH, pg	30.2±3.0	30.8±3.1	0.69
Thrombocytes, 10 <sup>3</sup> /μL	228.5±73.5	231.0±46.4	0.92
Leukocytes, 10 <sup>3</sup> /μL	6.3±2.2	6.2±1.7	0.87
Sodium, mmol/L	140.6±2.4	140.5±1.8	0.88
Potassium, mmol/L	3.8±0.5	4.0±0.5	0.56
Phosphorus, mmol/L	1.0±0.2	1.0±0.1	0.18
Creatinine, μmol/L	111.3±23.2	117.9±37.9	0.64
Urea, mmol/L	8.7±4.2	9.6±5.1	0.67
hs-CRP, mg/L	6.3±5.9	5.1±11.3	0.79
Glucose, mmol/L	6.2±1.4	5.0±0.3	0.02
Hemoglobin A1c, %	6.5±0.9	5.6±0.9	0.04
LDL cholesterol, mmol/L	2.9±0.8	2.4±0.9	0.21
HDL cholesterol, mmol/L	1.5±0.7	1.2±0.3	0.18
Triglycerides, mmol/L	3.0±3.9	2.2±1.3	0.53

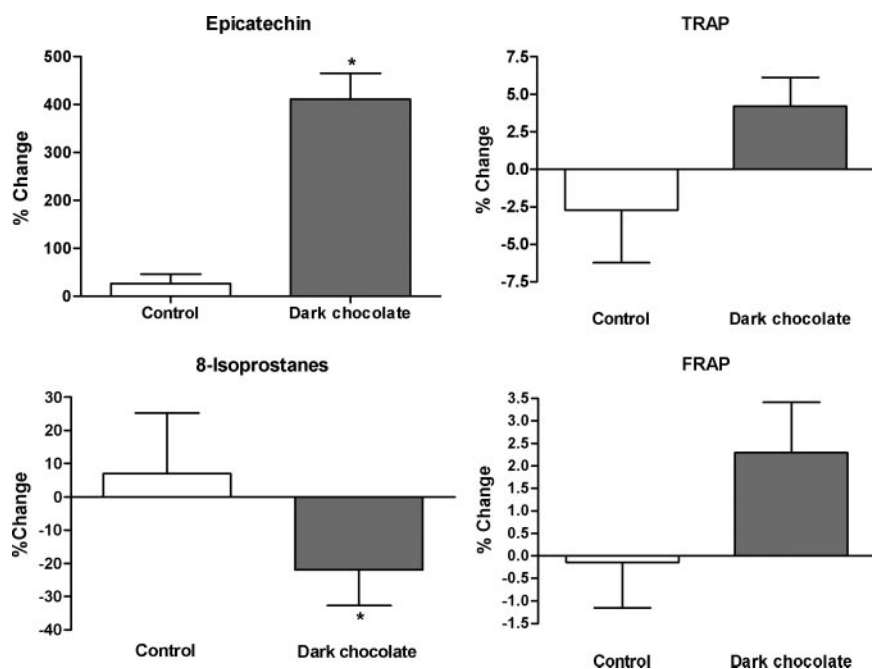
Values represent mean±SD. P for comparison between groups by ANOVA. MCV indicates mean corpuscular volume; MCH, mean cellular hemoglobin content; hs-CRP, high-sensitivity C-reactive protein; LDL, low-density lipoprotein; and HDL, high-density lipoprotein.

To investigate the concept of increased antioxidative properties of dark chocolate as the potential mechanism leading to vasodilation and reversal of vascular dysfunction, we measured the flavonoid concentration in serum at baseline and 2 hours after chocolate intake. In addition, changes in oxidative stress parameters and antioxidant status were measured. Serum epicatechin concentration increased significantly after dark

chocolate ingestion (from 0.0127±0.0046 to 0.0604±0.0191 μg/mL, P<0.001), whereas it did not change after ingestion of control chocolate (from 0.0338±0.00523 to 0.023.1±0.0181 μg/mL, P=0.48; Figure 2). Catechin concentrations did not change significantly after chocolate or control ingestion (from 0.007±0.0055 to 0.0214±0.0315 μg/mL, P=0.22, and from 0.0092±0.0107 to 0.0141±0.0071 μg/mL, P=0.19, respec-



**Figure 1.** A, Coronary artery diameter before and 2 hours after chocolate ingestion. Lines represent individual vessels (10 patients, 2 vessels each). Two hours after ingestion of dark chocolate, coronary artery diameter increased significantly from 2.36±0.51 to 2.51±0.59 mm (P<0.01), with no change after control (from 2.23±0.54 to 2.20±0.57 mm, P=0.46). \*P<0.05 or less for comparison between baseline and 2 hours after chocolate; error bars represent SE. B, Coronary vasomotion to CPT after dark chocolate/control ingestion. Bars represent percent change in coronary vessel diameter 2 hours after dark chocolate or control ingestion, as assessed by CPT, compared with baseline diameter (4.5±11.4% versus -4.6±10.7% in the control group, P=0.01). Ten patients (20 vessels) in each group were analyzed. \*P<0.05 or less, dark chocolate vs control; error bars represent SE.



**Figure 2.** Changes in epicatechins and oxidant status parameters. Mean percent changes in serum epicatechin concentrations, lipid oxidation, and antioxidant status parameters 2 hours after chocolate consumption. Serum epicatechin concentration increased significantly 2 hours after dark chocolate ingestion ( $P<0.001$ ), with no change after control. Two hours after chocolate consumption, 8-Isoprostanes were reduced significantly ( $P=0.029$ ), whereas they did not change significantly after control chocolate consumption. TRAP and FRAP both tended to improve after chocolate consumption ( $P=0.069$  and  $0.096$ , respectively). \* $P<0.05$  or less for comparison between baseline and 2 hours after chocolate; error bars represent SE;  $n=22$ .

tively). Changes in epicatechin concentration significantly correlated with coronary vasomotion ( $r=0.46$ ,  $P=0.04$ ). Concentrations of 8-iso-PGF $2\alpha$ , a specific marker of oxidative stress with vasoconstrictor potential,<sup>24</sup> were significantly reduced 2 hours after dark chocolate consumption (from  $1212\pm536$  to  $829\pm341$  pg/mL,  $P=0.029$ ), whereas there was no change after control chocolate (from  $1074\pm411$  to  $1002\pm393$  pg/mL,  $P=0.7$ ; Figure 2). TRAP and FRAP, markers of antioxidant status, both showed a trend to increase after dark chocolate consumption (TRAP  $1036\pm127$  to  $1077\pm121$   $\mu\text{mol/L}$ ,  $P=0.069$ , and FRAP  $1155\pm175$  to  $1177\pm154$   $\mu\text{mol/L}$ ,  $P=0.096$ ). Control chocolate had no significant effect (TRAP  $1104\pm179$  to  $1067\pm192$   $\mu\text{mol/L}$  and FRAP  $1191\pm217$  to  $1185\pm194$   $\mu\text{mol/L}$ ; Figure 2). The increased serum concentration of epicatechin 2 hours after dark chocolate ingestion most likely explains its antioxidant effects. No significant effect was evident on the inflammatory parameter C-reactive protein ( $6.3\pm5.9$  versus  $7.6\pm6.2$  mg/L before and after control,  $P=0.33$ ;  $5.1\pm11.3$  versus  $6.0\pm12.7$  mg/L before and after dark chocolate,  $P=0.23$ ).

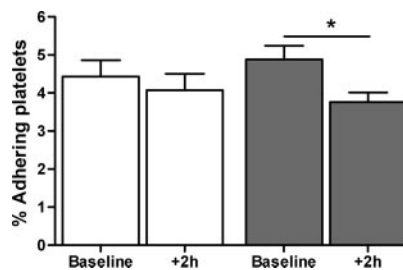
The cross-talk between platelets and the endothelium is an important contributor to the development of atherosclerosis, and therefore we assessed platelet function by measuring shear stress-dependent platelet adhesion. Shear stress-dependent platelet adhesion was reduced significantly on high shear rate conditions by dark chocolate (from  $4.9\pm1.1\%$  to  $3.8\pm0.8\%$ ,  $P=0.04$ ), whereas it remained unchanged after ingestion of control chocolate ( $4.4\pm1.4\%$  to  $4.1\pm1.4\%$ ,  $P=0.12$ ; Figure 3). The size of platelet aggregates did not change in either group (from  $37.6\pm10.1$  to  $36.5\pm10.9$  arbitrary units,  $P=0.94$ , in the dark chocolate group and from  $34.5\pm8.9$  to  $34.7\pm7.5$  arbitrary units in the control group,  $P=0.7$ ).

## Discussion

In the present study, we demonstrate that flavonoid-rich chocolate markedly improves coronary vasodilation, indica-

tive of an increased bioavailability of NO, and decreases platelet reactivity. Indeed, in endothelial cells in culture, flavonoids increase NO synthase expression and, in turn, NO release.<sup>25</sup> Similar effects have been observed in the intact rat aorta.<sup>26</sup> In humans, the circulating pool of bioactive NO in serum increased 2 hours after consumption of a drink rich in flavonols in parallel with the increase in serum flavonoid levels.<sup>6</sup> Whether such effects were relevant for coronary artery disease was uncertain. Interestingly, in the present study, the effects of cocoa on coronary vasomotion and platelet adhesion were paralleled by an increase in serum epicatechin levels, which are an independent predictor of the vascular effects of cocoa.<sup>5</sup> Indeed, in humans, ingestion of pure epicatechin closely and quantitatively mimics the vascular effects of flavonol-rich cocoa.<sup>5</sup> The present study supports the notion that epicatechin is a possible mediator responsible for improved coronary vasomotion.

In addition, flavonoid-rich cocoa increases serum antioxidant capacity<sup>27,28</sup> and counteracts lipid peroxidation, thereby



**Figure 3.** Platelet adherence before and after dark chocolate/control ingestion. Effect of dark chocolate on platelet function. Bars represent percentage of adhering platelets at baseline and 2 hours after control (white bars) or dark chocolate (gray bars) consumption. Shear stress-dependent platelet adhesion at high shear rate conditions decreased from  $4.9\pm1.1\%$  to  $3.8\pm0.8\%$  ( $P=0.04$ ) in the dark chocolate group, whereas it remained unchanged in the control group ( $4.4\pm1.4\%$  to  $4.1\pm1.4\%$ ;  $P=0.12$ ). \* $P<0.05$  or less.

lowering the plasma level of F<sub>2</sub>-isoprostanes.<sup>29</sup> Indeed, in the present study, 8-isoprostane levels were significantly reduced just 2 hours after chocolate intake, and serum antioxidant chain-breaking (TRAP) and -reducing (FRAP) potentials were increased, all of which are essential biomarkers of redox balance in vivo. As such, both activation of NO synthase and reduction of oxidative stress might have improved endothelial dysfunction and reduced platelet activation.<sup>30</sup>

The possibility that ingredients of control chocolate might have influenced the results is very unlikely. Indeed, the control preparation was manufactured specifically for the present trial to provide a chocolate bar with exactly the same amount of fat and carbohydrate as dark chocolate but no cocoa liquor.

It is noteworthy that the effect of dark chocolate on coronary vasomotion was observed in addition to current optimal therapy for heart transplantation patients, such as a statin,  $\beta$ -blocker, angiotensin-converting enzyme-inhibitor, or angiotensin receptor blocker if indicated. Given that several of these medications, specifically statins<sup>31</sup> and blockers of the renin angiotensin system,<sup>32</sup> are known to have beneficial effects on the vascular endothelium, coronary vasomotion, and platelet adhesion, the present results imply that the true effect of dark chocolate might provide a clinically relevant benefit not only in healthy subjects but also in patients treated with cardiovascular drugs.

Activation of platelets is an essential step in acute coronary syndromes. The fact that shear stress-dependent platelet adhesion was reduced 2 hours after flavonoid-rich chocolate consumption in heart transplantation patients extends our previous findings in young healthy smokers, in whom a similar effect on platelet function was found.<sup>7</sup> A high shear rate mimics severely stenotic or disrupted plaques, and thus, reduction of shear stress-dependent platelet adhesion is indicative of clinically relevant protective effects.

It is a limitation of the results of the present study that they are based on a short-term intervention only. The precise effects of long-term chocolate ingestion are not yet known, even though in a cross-sectional analysis, cocoa intake was inversely related to blood pressure and to cardiovascular and all-cause mortality.<sup>2</sup> Because chocolate also contains fat and sugar, careful conclusions should be drawn, because these compounds might influence health-relevant factors such as insulin resistance, weight, or serum lipids. Furthermore, most chocolate products are manufactured with milk, a compound known to influence antioxidant capacity in serum. Even if the flavonoid-content in milk chocolate were the same as in its dark counterpart, the antioxidant effect of cocoa is potentially attenuated in the serum if chocolate is ingested as milk chocolate or in combination with milk.<sup>28</sup>

The sample size of this kind of study design presents other potential limitations. The fact that baseline characteristics did not match perfectly with respect to blood glucose and diabetes mellitus is important, because blood glucose might influence endothelial function. However, the diabetic patients were all in the control group, and therefore, the improvement in vascular and platelet function seen 2 hours after chocolate consumption is unlikely to be influenced by this potential confounder. Glucose level did not change

during the course of the study in either group (online Data Supplement Figure). Moreover, both chocolates contained exactly the same amount of sugar and fat.

Because the present study was done in collaboration with industry with respect to chocolate manufacturing and the analysis of polyphenol levels in patients and chocolate, concerns about a commercial confounding might arise. However, the present study was fully investigator-initiated and was without industrial funding. The collaboration with the manufacturer of the chocolate used was necessary to obtain control chocolate, to allow for a double-blind study design. We are convinced that this collaboration did not influence the study results.

In conclusion, our results suggest a short-term effect of flavonoid-rich dark chocolate in terms of inducing coronary vasodilation and improving coronary vasomotion and shear stress-dependent platelet adhesion, which results in the potential to beneficially affect atherothrombosis. This beneficial potential provides a strong rationale to further investigate the clinical effects of cocoa in cardiovascular disease.

### Acknowledgments

We thank Astrid Hirt, Priska Kaiser, Rosy Hug, and Ines Bühler (University Hospital Zurich) for technical assistance.

### Sources of Funding

The study was supported in part by the Swiss National Science Foundation (grant 32000BO-105758 to Dr Ruschitzka, grant No. 32000BO-100318 to Dr Noll, and grant No. 32000BO-109905/1 to Dr Corti). All chocolate was provided by Nestlé, Switzerland.

### Disclosures

The Swiss National Science Foundation was not involved in any part of the study. Nestlé provided chocolate but was not involved in funding or in any part of the study, except in the analysis and interpretation of serum and chocolate polyphenol concentrations. Dr Cooper is an employee of the Nestlé Research Center. Dr Lüscher has been a consultant for MARS Inc and has received research grants not related to the present study. The remaining authors report no conflicts.

### References

1. Josphura KJ, Hu FB, Manson JE, Stampfer MJ, Rimm EB, Speizer FE, Colditz G, Ascherio A, Rosner B, Spiegelman D, Willett WC. The effect of fruit and vegetable intake on risk for coronary heart disease. *Ann Intern Med.* 2001;134:1106-1114.
2. Buijsse B, Feskens EJ, Kok FJ, Kromhout D. Cocoa intake, blood pressure, and cardiovascular mortality: the Zutphen Elderly Study. *Arch Intern Med.* 2006;166:411-417.
3. Fisher ND, Hughes M, Gerhard-Herman M, Hollenberg NK. Flavanol-rich cocoa induces nitric-oxide-dependent vasodilation in healthy humans. *J Hypertens.* 2003;21:2281-2286.
4. Engler MB, Engler MM, Chen CY, Malloy MJ, Browne A, Chiu EY, Kwak HK, Milbury P, Paul SM, Blumberg J, Mietus-Snyder ML. Flavonoid-rich dark chocolate improves endothelial function and increases plasma epicatechin concentrations in healthy adults. *J Am Coll Nutr.* 2004;23:197-204.
5. Schroeter H, Heiss C, Balzer J, Kleinbongard P, Keen CL, Hollenberg NK, Sies H, Kwik-Urbe C, Schmitz HH, Kelm M. (-)-Epicatechin mediates beneficial effects of flavanol-rich cocoa on vascular function in humans. *Proc Natl Acad Sci U S A.* 2006;103:1024-1029.
6. Heiss C, Dejam A, Kleinbongard P, Schewe T, Sies H, Kelm M. Vascular effects of cocoa rich in flavan-3-ols. *JAMA.* 2003;290:1030-1031.
7. Hermann F, Spieker LE, Ruschitzka F, Sudano I, Hermann M, Binggeli C, Luscher TF, Riesen W, Noll G, Corti R. Dark chocolate improves endothelial and platelet function. *Heart.* 2006;92:119-120.

8. Grassi D, Necozione S, Lippi C, Croce G, Valeri L, Pasqualetti P, Desideri G, Blumberg JB, Ferri C. Cocoa reduces blood pressure and insulin resistance and improves endothelium-dependent vasodilation in hypertensives. *Hypertension*. 2005;46:398–405.
9. Corti R, Hutter R, Badimon JJ, Fuster V. Evolving concepts in the triad of atherosclerosis, inflammation and thrombosis. *J Thromb Thrombolysis*. 2004;17:35–44.
10. Aranda JM Jr, Hill J. Cardiac transplant vasculopathy. *Chest*. 2000;118:1792–1800.
11. Kunsch C, Medford RM. Oxidative stress as a regulator of gene expression in the vasculature. *Circ Res*. 1999;85:753–766.
12. Fang JC, Kinlay S, Beltrame J, Hikiti H, Wainstein M, Behrendt D, Suh J, Frei B, Mudge GH, Selwyn AP, Ganz P. Effect of vitamins C and E on progression of transplant-associated arteriosclerosis: a randomised trial. *Lancet*. 2002;359:1108–1113.
13. Nabel EG, Ganz P, Gordon JB, Alexander RW, Selwyn AP. Dilatation of normal and constriction of atherosclerotic coronary arteries caused by the cold pressor test. *Circulation*. 1988;77:43–52.
14. Hollenberg SM, Klein LW, Parrillo JE, Scherer M, Burns D, Tamburro P, Oberoi M, Johnson MR, Costanzo MR. Coronary endothelial dysfunction after heart transplantation predicts allograft vasculopathy and cardiac death. *Circulation*. 2001;104:3091–3096.
15. Haase J, Di Mario C, Slager CJ, van der Giessen WJ, den Boer A, de Feyter PJ, Reiber JH, Verdouw PD, Serruys PW. In-vivo validation of on-line and off-line geometric coronary measurements using insertion of stenosis phantoms in porcine coronary arteries. *Cathet Cardiovasc Diagn*. 1992;27:16–27.
16. Peters RJ, Kok WE, Pasterkamp G, Von Birgelen C, Prins M, Serruys PW. Videodensitometric quantitative angiography after coronary balloon angioplasty, compared to edge-detection quantitative angiography and intracoronary ultrasound imaging. *Eur Heart J*. 2000;21:654–661.
17. Zeiher AM, Drexler H, Wollschlaeger H, Saurbier B, Just H. Coronary vasomotion in response to sympathetic stimulation in humans: importance of the functional integrity of the endothelium. *J Am Coll Cardiol*. 1989;14:1181–1190.
18. Shenkman B, Savion N, Dardik R, Tamarin I, Varon D. Testing of platelet deposition on polystyrene surface under flow conditions by the cone and plate(let) analyzer: role of platelet activation, fibrinogen and von Willebrand factor. *Thromb Res*. 2000;99:353–361.
19. Spieker LE, Flammer AJ, Amacker N, Sudano I, Badimon JJ, Ruschitzka F, Luscher TF, Noll G, Corti R. C-reactive protein influences shear stress-dependent platelet adhesion in patients with familiar hypercholesterolemia and coronary artery disease undergoing LDL apheresis. *Thromb Haemost*. 2006;96:540–542.
20. Spieker LE, Ruschitzka F, Badimon JJ, Noll G, Corti R. Shear stress-dependent platelet function after LDL cholesterol apheresis. *Thromb Res*. 2004;113:395–398.
21. Serafini M, Bugianesi R, Salucci M, Azzini E, Raguzzini A, Maiani G. Effect of acute ingestion of fresh and stored lettuce (*Lactuca sativa*) on plasma total antioxidant capacity and antioxidant levels in human subjects. *Br J Nutr*. 2002;88:615–623.
22. Benzie IF, Strain JJ. The ferric reducing ability of plasma (FRAP) as a measure of “antioxidant power”: the FRAP assay. *Anal Biochem*. 1996;239:70–76.
23. Julius BK, Vassalli G, Mandinov L, Hess OM. Alpha-adrenoceptor blockade prevents exercise-induced vasoconstriction of stenotic coronary arteries. *J Am Coll Cardiol*. 1999;33:1499–1505.
24. Yang D, Gluais P, Zhang JN, Vanhoutte PM, Feletou M. Nitric oxide and inactivation of the endothelium-dependent contracting factor released by acetylcholine in spontaneously hypertensive rat. *J Cardiovasc Pharmacol*. 2004;43:815–820.
25. Leikert JF, Rathel TR, Wohlfart P, Cheyner V, Vollmar AM, Dirsch VM. Red wine polyphenols enhance endothelial nitric oxide synthase expression and subsequent nitric oxide release from endothelial cells. *Circulation*. 2002;106:1614–1617.
26. Benito S, Lopez D, Saiz MP, Buxaderas S, Sanchez J, Puig-Parellada P, Mitjavila MT. A flavonoid-rich diet increases nitric oxide production in rat aorta. *Br J Pharmacol*. 2002;135:910–916.
27. Rein D, Lotito S, Holt RR, Keen CL, Schmitz HH, Fraga CG. Epicatechin in human plasma: in vivo determination and effect of chocolate consumption on plasma oxidation status. *J Nutr*. 2000;130:2109S–2114S.
28. Serafini M, Bugianesi R, Maiani G, Valtuena S, De Santis S, Crozier A. Plasma antioxidants from chocolate. *Nature*. 2003;424:1013.
29. Wiswedel I, Hirsch D, Kropf S, Gruening M, Pfister E, Schewe T, Sies H. Flavanol-rich cocoa drink lowers plasma F(2)-isoprostane concentrations in humans. *Free Radic Biol Med*. 2004;37:411–421.
30. Wever RM, Luscher TF, Cosentino F, Rabelink TJ. Atherosclerosis and the two faces of endothelial nitric oxide synthase. *Circulation*. 1998;97:108–112.
31. Weis M, Pehlivanli S, Meiser BM, von Scheidt W. Simvastatin treatment is associated with improvement in coronary endothelial function and decreased cytokine activation in patients after heart transplantation. *J Am Coll Cardiol*. 2001;38:814–818.
32. Steinhilff S, Pehlivanli S, Bakovic-Alt R, Meiser BM, Becker BF, von Scheidt W, Weis M. Beneficial effects of quinaprilat on coronary vasomotor function, endothelial oxidative stress, and endothelin activation after human heart transplantation. *Transplantation*. 2004;77:1859–1865.

### CLINICAL PERSPECTIVE

During the last few decades, consumption of cocoa has increased, probably because of its potential beneficial effects on human health. Recent research revealed that cocoa exerts beneficial cardiovascular effects, most likely mediated by its polyphenols, a heterogeneous group of antioxidant molecules. Cardiovascular events are characterized by vasoconstriction, impaired vasomotion, and platelet activation, which lead to atherothrombosis. Our results suggest a short-term effect of flavonoid-rich dark chocolate in terms of inducing coronary vasodilation and improving coronary vasomotion and shear stress-dependent platelet adhesion and thus suggest the potential of dark chocolate to beneficially affect atherothrombosis. We believe that the observed improvement of these important cardiovascular surrogates is remarkable and that cacao thus holds the potential to play an important role in a well-balanced nutrition plan. However, to make more definitive statements, further studies are needed, especially because placebo-controlled prospective studies of morbidity and mortality are lacking.